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9/30/04

Attm#(3050)

Attorney Docket No. T103424

9/8/04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: WARREN F. PHILLIPS )  
TITLE: APPARATUS AND METHOD )  
FOR REDUCING INDUCED )  
DRAG ON THE AIRCRAFT )  
AND OTHER VEHICLES )  
SERIAL NO.: 10/799,568 )  
FILED: March 11, 2004 )  
EXAMINER: \_\_\_\_\_ )  
ART UNIT: \_\_\_\_\_ )

RESPONSE TO NOTICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
Attn: Licensing and Review

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AUG 31 2004  
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Sir:

In response to the request for a statement dated July 20, 2004, regarding the above-referenced patent application, enclosed is a statement signed by Warren F. Phillips (inventor), indicating that the invention of the subject matter of the above-referenced patent application was not developed under any contract, grant or cooperative agreement with NASA, etc.

Certificate of Deposit Under 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, Attn: Licensing and Review, on the 27 day of August, 2004.

Karl R. Cannon  
Karl R. Cannon  
Attorney Registration No. 36,468  
Attorney for Applicant

The commissioner is hereby authorized to charge any additional fee or to credit any overpayment in connection with this Response to Deposit Account No. 50-0836.

DATED this 27 day of August, 2004.

Respectfully submitted,

A handwritten signature in cursive script, reading "Karl R. Cannon", written over a horizontal line.

Karl R. Cannon  
Attorney Registration No. 36,468  
Attorney for Applicant

Clayton, Howarth & Cannon, P.C.  
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KRC/tet

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The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) Warren F. Phillips  
citizens of United States of America  
residing at 540 West 9200 South, Paradise, Utah 84328-0113  
declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 10/799,568 filed in the United States of America on March 11, 2004  
titled APPARATUS AND METHOD FOR REDUCING INDUCED DRAG ON AIRCRAFT AND OTHER VEHICLES

(Check and complete either I or II below)

(Check III and/or IV below as appropriate)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by Utah State University. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of Utah State University. Other relevant facts are (name of employer)

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

That to the best of my (our) knowledge and belief (and/or) based upon information provided by \_\_\_\_\_ of \_\_\_\_\_:  
—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are \_\_\_\_\_

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: Warren F. Phillips 8/23/04  
Post Office Address: P.O. Box 113, 540 West 9200 South, Paradise, Utah 84328-0113

Date: 8/23/04

Inventor's Signature: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

Date: \_\_\_\_\_

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